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**SUBSTITUTE SPECIFICATION (OFFICIAL)**

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**Title**

**HANDLING OF I/O SCANS DURING WRITE/READ COMMAND  
DISCONNECTS**

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**Background of the Invention**

**Field of the Invention**

10       The present invention relates to the field of computers and particularly, although not exclusively to a small computer system interface (SCSI) connection between two or more computer entities.

**Description of the Related Art**

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      The small computer system interface (SCSI) is well known to those in the art of computer science and comprises a protocol for allowing communication between a plurality of computer entities, for example a host computer and one or more peripheral devices. There are many types of host computer device known in  
20   the art and also many types of peripheral devices known in the art that can communicate with each other via the known SCSI interface.

      The specification for SCSI can be obtained from American National Standards Institute, 1430 Broadway, New York 10018. The SCSI specification  
25   (ANSI Standard X3.131-1986) defines a high performance peripheral interface that distributes data among peripherals independently of a host computer, thereby freeing a host computer for more user oriented activities.

      Fig. 1 herein, depicts a typical SCSI arrangement in which a host computer  
30   100 is connected via a SCSI bus to a plurality of tape drive data storage devices 101 – 103.

Fig. 2 herein is a logical diagram of the arrangement of Fig. 1. Host computer 200 communicates with a plurality of tape drive data storage back-up devices 201 – 203 via a SCSI bus 204.

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Each device has a SCSI driver. Host computer 200 has a host driver, whereas each peripheral device 201 – 203 has a peripheral device SCSI driver.

In the particular example of a Hewlett Packard UX type host device, communicating with a tape drive data storage back-up peripheral, via a SCSI interface, there has been experienced the particular problem that disconnects of the SCSI interface can occur, even when the behavior of two or more tape drive units 201 – 203 and the host computer is perfectly legal, that is, within the SCSI specification.

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In the context of data backup, having disconnects of a SCSI interface bus between a host computer and a peripheral backup data storage device can cause failure of backup data and is therefore to be avoided if possible.

Specific implementations according to the present invention have arisen from the need to identify the cause of the disconnects on the SCSI interface and to implement a solution to those disconnects.

### **Summary of the Invention**

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Where a SCSI peripheral device is writing or reading after an SCSI command phase originating from a SCSI host computer device, the peripheral device can disconnect from the SCSI bus. The SCSI bus is then deemed free for the host computer to issue further SCSI inquiry commands to peripherals on the bus, to determine which peripheral devices are connected to the bus. This I/O scan process takes a relatively long period of time, relative to the SCSI command

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phase, bus free period and response from the peripheral device to the SCSI command phase.

5 In one embodiment in accordance with the present invention, a peripheral device allows a relatively long period of time for the I/O scan process to interrogate each peripheral device on the bus. The time out delay allowed by the peripheral is long enough for the host computer to determine which devices are connected on the SCSI bus.

10 In one embodiment in accordance with the present invention, a method of operating a SCSI enabled computer peripheral device is provided wherein the peripheral device waits a period of time if it detects that an I/O scan has been issued after a write/read command has disconnected.

15 This can have the advantage of avoiding a prior art problem wherein a peripheral device is legally allowed within the SCSI protocol to re-select a host computer during an I/O scan period, that is, when the bus is free, and to continue with a read/write data phase. In some prior art operating systems and SCSI drivers, to continue a write/read data phase during an I/O scan period  
20 causes prior art systems to crash. Specific implementations according to the present invention can have an advantage of avoiding such crashes.

According to a first aspect of the present invention, a method of operating a peripheral device enabled to communicate using a SCSI (Small Computer  
25 System Interface) protocol is provided, the method comprising:

receiving a SCSI command write/read signal;

receiving a SCSI inquiry signal; and

delaying initiating a response to the SCSI inquiry signal by the peripheral device for a predetermined time period in response to receipt of the received SCSI command write/read signal and the received SCSI inquiry signal.

5       According to a second aspect of the present invention a tape data storage device is provided, the device comprising:

a tape drive mechanism adapted to accept a removable tape data storage media for storage of data;

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at least one buffer memory adapted to temporarily store data to be read to said tape data storage media and to be written from said tape data storage media;

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a SCSI (Small Computer System Interface) driver; and

a controller device adapted to control said buffer memory, said tape drive mechanism and said SCSI driver;

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wherein said tape data storage device is adapted to:

receive a SCSI command write/read signal;

receive a SCSI inquiry signal; and

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delay initiating a response to the SCSI inquiry signal by said peripheral device for a predetermined time period in response to receipt of said received SCSI command write/read signal and said received SCSI inquiry signal.

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According to a third aspect of the present invention, a driver for operating a SCSI (Small Computer System Interface) enabled peripheral device enabled to communicate with at least one other SCSI enabled device according to the SCSI protocol is provided, said driver comprising:

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at least one receiver adapted to receive a SCSI command write/read signal and a SCSI inquiry signal; and

a delay timer to measure a predetermined time period;

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wherein said driver is adapted to cause said peripheral device to delay initiating a response to the SCSI inquiry signal for said measured predetermined time period in response to receipt of said received SCSI command write/read signal and said received SCSI inquiry signal.

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According to a fourth aspect of the present invention, a system of computer entities adapted to communicate via a SCSI (Small Computer System Interface) is provided, said system comprising:

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at least one host computer entity; and

at least one target computer entity;

wherein said system is adapted to operate to:

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initiate arbitration by the target entity;

select the host computer; and

to commence data transfer between the host computer and target entity during a bus free period comprising the inquiry period of an inquiry initiated by said host computer to said target entity.

5        According to a fifth aspect of the present invention, a program storage device, readable by a machine, tangibly embodying a method of causing a processor to operate a SCSI (Small Computer System Interface) protocol driver is provided, the method comprising:

10        receiving a SCSI command write/read signal;

receiving an SCSI inquiry signal;

15        setting a delay timer to measure passage of a predetermined time period in response to receipt of said received SCSI command write/read signal and said received SCSI inquiry signal; and

20        responding to said SCSI inquiry in response to the measured predetermined time period having passed.

25        The invention includes a driver for operating a SCSI (Small Computer System Interface) enabled peripheral device enabled to communicate with at least one other SCSI enabled device according to the SCSI protocol, said driver comprising:

30        a receiver adapted to receive a SCSI command write/read signal and to receive a SCSI inquiry signal; and

a delay timer adapted to measure a predetermined time period;

wherein said driver is adapted to cause said peripheral device to delay initiating a response to said SCSI inquiry signal for said measured predetermined time period in response to receipt of said received SCSI command write/read signal and said received SCSI inquiry signal.

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### **Brief Description of the Drawings**

For a better understanding of the present invention and to show how the same can be carried into effect, there will now be described by way of example  
10 only, specific embodiments, methods and processes according to the present invention with reference to the accompanying drawings in which:

Fig. 1 depicts the general arrangement of a host computer connected to a peripheral tape data storage device via a SCSI bus as is known in the prior art;

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Fig. 2 depicts a logical view of the arrangement of Fig. 1 including a host computer device and a plurality of peripheral computer devices connected to a SCSI bus as is known in the prior art;

20 Fig. 3 depicts a sequence of messages according to the SCSI protocol between a SCSI host computer and at least one SCSI peripheral device;

Fig. 4 depicts a second message sequence initiated by a host device for sending an inquiry command to a peripheral device;

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Fig. 5 depicts components of a tape data storage device, having a SCSI interface and controller according to a specific implementation of the present invention;



Fig. 6 depicts a state diagram and process steps operated by the tape data storage device in Fig. 5 for implementing a specific process according to the present invention; and

5 Figs. 7 - 9 depict states and processes according to the specific implementation of the present invention, operated by a tape data storage device for avoiding a disconnect of an SCSI interface of the tape data storage device.

### **Detailed Description**

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In the following description, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be apparent however, to one skilled in the art, that the present invention can be practiced without limitation to these specific details. In other instances, well known  
15 methods and structures have not been described in detail so as not to unnecessarily obscure the present invention.

In the following description, a problem with the prior art host computer and host SCSI driver and prior art peripheral device and peripheral driver in a tape  
20 data storage backup device of Figs 1 and 2 is described.

Fig. 3 depicts a sequence of messages according to the SCSI protocol, between an SCSI host computer 201 and a plurality of SCSI peripheral devices 201 – 203 attached to the SCSI bus. A host driver at the host computer sends  
25 inquiry commands to each driver connected to the bus. Each driver connected to the bus has its own unique identification number (LUN) that in this case, are number 0 to 15. An I/O scan process sends inquiry commands to each peripheral device in turn. Some drivers may not exist on the LUN addresses. However, for those drivers that do exist, the drivers of those peripheral devices  
30 send back data identifying the type of peripheral device in each case.

In the case of a host computer communicating with one or more peripheral tape data storage devices (tape drives), the I/O process can occur at any point in time and therefore could clash with a period when the host computer is performing a data backup operation to one or more of the tape data storage peripheral devices, or could clash with a period when the host computer is restoring data from one of the tape data storage peripheral devices. Therefore, when inquiry commands are being issued, they can be interleaved on the SCSI bus with read commands from the peripheral devices to the host or from the host to a tape data storage device.

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A bus free interval 300 occurs when there are no transactions on the bus. After the bus free interval, there occurs an arbitration host selection period 301, where the host computer communicates on the bus, in order to select a particular peripheral device. The arbitration process according to the SCSI protocol is a process whereby a single device can seize control of the bus and select another device connected to the bus, with which to communicate. Once a peripheral device is selected, there follows a command phase 302, where the host computer issues either a write command or a read command.

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The selected peripheral device, in response to the command write/read, needs to set up internal buffers and prepare data to be communicated with the host. There occurs a disconnect of the peripheral device from the bus, while the selected drive prepares itself to communicate with the host computer. Hence, a second bus free period 303 occurs. After the second bus free period, which can be an arbitrary time period, the peripheral device seizes the SCSI bus and selects the host computer in the second arbitrary host selection period 304. This is followed by a data transfer period 305, in which data is transferred between the host computer and the peripheral device. In the case of a write command, data is transferred from the host computer to the peripheral device. In the case of a read command, data is read from the peripheral tape data storage device back to the host computer.

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Following the data transfer, there is a command complete phase 306, following which the SCSI bus is released by the tape drive and the SCSI bus enters a third bus free period 307.

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Fig. 4 depicts a sequence of SCSI signals which can occur causing a problem in the prior art SCSI enabled peripheral tape data storage device in crashing or disconnecting the SCSI bus.

10 During the second bus free period 303 after the command read/write period 302, before the tape drive is ready to communicate again with the host computer, a problem occurs in that it is possible that the host computer seizes the bus, enters a second host initiated arbitration process 400 for selecting a drive, selects the same drive as previously selected and issues an inquiry command 401.

15 According to the SCSI protocol, following an inquiry command, there is never a disconnect but always a data transfer 402 where data is immediately sent back from the peripheral device to the host.

There can be multiple inquiry commands made by the host computer to the same peripheral device. Part of the inquiry command 401 comprises a particular page code embedded within the inquiry command. Therefore, there can be multiple enquiry commands 403 – 405 going back to the host computer with bus free periods 406 – 408 between each of these inquiry commands.

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25 It has been observed that, because the inquiry period can occupy a relatively long time period relative to the time period T1 of Fig. 3 in which the tape drive unit arbitrates on the SCSI bus to select the host and then transfers data between the host computer and the tape drive, the arbitration process 304 and data transfer process 305 can occur during the inquiry period 401, without waiting

30 for the end of the inquiry period 401.

Typically the whole input/output process will be over in a matter of milliseconds. This is a relatively long time compared to the second bus free period 303, in which the host computer waits for the tape drive unit to arbitrate back for selection of the host computer in second arbitration host selection period 304. Therefore, there is a conflict between the selected tape drive wishing to arbitrate back to the host computer and the host computer initiating a new arbitration to select the same tape drive. Practically, this means that under these conditions, the selected tape drive cannot arbitrate back to the host computer because it is blocked by the host computer having issued an inquiry to the selected tape drive, which can cause a crash in the tape drive.

One solution would be to replace the host driver, with a new driver that does not arbitrate to a peripheral, immediately after an arbitration host selection process and command write/read phase. However, in practice there are a large number of legacy host drivers in existence and replacing all these host drivers with modified host drivers is not practical. Therefore, a modification of the peripheral device is preferred.

A solution to these problems is to utilize the bus free periods within the inquiry period 401. During these bus free periods, it is legal within the SCSI specification for the tape drive unit to arbitrate back to select the host computer, in order to respond to the original command write/read 302. Therefore, arbitration initiated by the peripheral tape drive, to select the host computer, and commencement of data transfer between the host computer and the tape drive can occur during a bus free period comprising the inquiry period 401 of an inquiry initiated by the host computer to the tape drive, where the inquiry occurs after the host computer has issued a command write/read to the tape drive but before the tape drive has had sufficient time to respond to the command write/read.

Fig. 5 depicts components of a tape data storage device according to an embodiment of the present invention. The tape data storage device 500 comprises a SCSI interface 501 capable of communicating with a SCSI bus 502; at least one data processor 503; a buffer memory 504; a tape drive mechanism 505 having a port for accepting a removable tape data storage medium 506; a controller 507 for controlling input of data through the SCSI interface 501 and for controlling and managing data storage in the buffer memory 504 and for controlling data storage and management in the tape drive mechanism 505. The tape data storage device also comprises power supply, liquid crystal display for showing an operational status of the data storage device as is known in the prior art.

Within the controller 507 is a flash EEPROM that store an algorithm in the form of stored program data for operating the tape drive mechanism, buffer memory, processor 503 and SCSI interface 501. Controller 507 contains program data written in a conventional program language, for example, C, or C++, as is known to those skilled in the art. The program data causes the SCSI enabled peripheral device to operate as follows.

There will now be described operation of a tape data storage device for overcoming the problem of disconnects caused under conditions in which a host computer device seizes a SCSI bus during a bus free period after an arbitration host selection period and command write/read period.

A peripheral SCSI driver operates to detect conditions wherein a command from a host driver is outstanding and that an I/O scan is in progress and to delay data transfer either in or out to the host for the overlapped command. The I/O scan has a maximum time period that it can take, and therefore, the peripheral driver waits until that I/O scan has finished and then continues with the data transfer from the write/read command.

Figs 6 – 9 are flowcharts depicting the operation of a SCSI driver of a peripheral device. In Figs. 6 – 9, ellipses represent states of the peripheral SCSI driver; indented boxes represent inputs to those states; and quadrilateral boxes represent process steps carried out by the peripheral SCSI driver.

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Referring to Fig. 6 herein, in an initial state 600 which can be any state of the SCSI driver, the driver awaits input of an inquiry command 601 from a host computer. On receiving an input inquiry command 601, the peripheral driver determines in process 602, whether a write I/O operation is outstanding and/or  
10 whether a read I/O operation is outstanding. If there is no I/O operation outstanding, then in process 603 the peripheral driver resumes the inquiry command and returns to the initial state 604 which the driver was in before the inquiry command 601 was detected.

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However, if the peripheral driver is in a particular state 600 and receives an inquiry command 601 from a host computer and in process 602 it is determined that a write I/O is outstanding, then in process 604, a delay flag is set, activating a delay timer. The peripheral driver then proceeds in process 603 to carry out the inquiry command. The inquiry command is always executed irrespective of  
20 whether or not there is a write I/O operation outstanding, or whether or not there is a read I/O operation outstanding. However, if an I/O operation is outstanding, the execution of the inquiry command by the peripheral is delayed until the data transfer between the host and the peripheral is completed.

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Referring to Fig. 7 herein, if the peripheral driver is in an idle state 700, and is asked to perform a write command, by receiving write command 701 from a host computer, then in process 702 the tape data storage device needs to secure a predetermined quantity of buffer space, that is, to reserve a predetermined amount of data storage capacity in buffer memory 504 of the tape data storage  
30 device in process 702. This reserve memory capacity is required to store data that will be sent from the host device to the peripheral device. Once a write

command is received from the host, the peripheral device sets a read/write input/output flag. In process 703, there is set a flag indicating that a write I/O operation or read I/O is in progress which is followed by the peripheral driver entering a buffer space allocation state 704. At this stage, the driver transfers  
5 into a further state 704, waiting for buffer memory space to be allocated.

Once buffer memory space is allocated, then a buffer space available signal is generated. When buffer space is available, then the status of the delay flag is determined. If the delay flag indicates that the peripheral SCSI interface should  
10 not delay any operations, then a direct memory access (DMA) data transfer from the host to the peripheral tape data storage device occurs, writing data from a host buffer memory to the peripheral buffer memory 504 in process 803. The peripheral then reverts to idle state 804. If in process 802, when the delay flag is checked, a delay timer is set in process 805, this causes the peripheral driver to  
15 enter a timer state 806.

Referring to Fig. 9 herein, when timer state 900 expires, resulting in a delay timer off signal 901, the peripheral driver reverts to a direct memory access operation 902 resulting in a data transfer and then the peripheral device reverts to  
20 an idle state.

It will be appreciated by those skilled in the art, that the peripheral driver as described herein above can be provided as a computer program data download which is input into a prior art tape data storage device having a SCSI interface, as  
25 a modification or upgrade to that tape data storage device.

Alternatively, the program data implementing the above process can be stored on a data storage carrier, for example, a CD-ROM or floppy disk, or can be downloaded to a tape data storage device as program data from a host  
30 computer. The host computer can obtain the peripheral driver electronically, for example, over the Internet, as an electronic download of data, or can acquire the

program data for creating the peripheral driver by reading a CD-ROM or other program data storage carrier.